

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS**

National Association of Private Fund Managers, et al.
Plaintiffs

v.

4:24-cv-00250

Civil Action No.

Securities and Exchange Commission
Defendant

CERTIFICATE OF INTERESTED PERSONS/DISCLOSURE STATEMENT

(This form also satisfies Fed. R. Civ. P. 7.1)

Pursuant to Fed. R. Civ. P. 7.1 and LR 3.1(c), LR 3.2(e), LR 7.4, LR 81.1(a)(4)(D), and LR 81.2,

Plaintiffs (National Association of Private Fund Managers; Alternative Investment Management Association, Limited; and Managed Funds Association)

provides the following information:

For a nongovernmental corporate party, the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock (if none, state "None"):

****Please separate names with a comma. Only text visible within box will print.***

None

A complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:

****Please separate names with a comma. Only text visible within box will print.***

Plaintiffs: National Association of Private Fund Managers, Alternative Investment Management Association, Limited, Managed Funds Association.

Defendant: Securities and Exchange Commission

Date:	<u>3/18/2024</u>
Signature:	<u>/s/ Dee J. Kelly</u>
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Addendum to Certificate of Interested Persons

The parties are listed on the form. In addition to the parties, interested persons include:

- Others who are not participants in this matter but may be financially interested in its outcome include members of the National Association of Private Fund Managers, Alternative Investment Management Association, Limited, and Managed Funds Association.

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